

## Conference of Heads of Compliance

**Date : April 15 and 16, 2013**  
**Room**

**Venue :Taj Mahal Palace, Gateway**

The objective of the conference is to have a dialogue with the Heads of Compliance of Commercial banks of the key aspects of their role in ensuring regulatory compliance in letter and spirit from prudential, consumer protection and financial integrity perspective. .

<b>Day 1 - April 15, 2013</b>		
<b>Time</b>	<b>Subject</b>	<b>Speaker</b>
09.45 am to 10 am	Welcome and Introduction	
10 am to 11.15 am	Managing compliance risk – Role of the Board, CEO and senior management	Shikha Sharma CEO, Axis Bank
11.15 am to 11.30 am	Tea break	
11.30 am to 1 pm	Regulator’s perspective of the compliance function	B Mahapatra, ED, RBI
1 pm to 2 pm	LUNCH	
2 pm to 3.30 pm	Supervisor’s perspective of the compliance function and assessment of compliance risk	G J Rao, CGM-in-Charge, RBI, DBS
3.30 pm to 3.45 pm	Tea Break	
3.45 pm to 5.15 pm	Role of compliance in a financial conglomerate and compliance structure and processes	Sandeep Batra Group Compliance Officer - ICICI Bank

<b>Day 2 - April 16, 2013</b>		
<b>Time</b>	<b>Subject</b>	<b>Speaker</b>
10 am to 11 am	Ensuring financial integrity – compliance with KYC/AML	Vinay Baijal, Former CGM, RBI
11 am to 11.15 am	Tea break	
11.15 am to 12.15 pm	Compliance with FEMA- Role of compliance officer	R N Kar CGM , FED, RBI
12.15 pm to 1.15 pm	Global challenges for compliance officers	Ravi Duvvuru Head, Compliance - Standard Chartered Bank
1.15 pm to 2.15 pm	Lunch	
2.15 pm to 3.15 pm	Consumer Protection – Role of compliance officer	K N Vaidyanathan Chief Risk Officer Mahindra & Mahindra
3.15 pm to 3.30 pm	Tea Break	
3.30 pm to 4.30 pm	Relationship between compliance and	Sanjay Chougule Head,

	internal audit	Internal Audit - ICICI Bank
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